

# **Opioid Remediation Grant Application Dauphin County**

**Program Guidelines | September 2022**

## **Table of Contents**

|   |   |
|---|---|
| SECTION I. – Statement of Purpose .....           | 2 |
| SECTION II. – Eligible Applicants .....           | 2 |
| A.    Eligible Sponsors/Recipients .....          | 2 |
| B.    Sponsorship Requirements.....               | 3 |
| SECTION III. – Eligible Uses of Grant Funds ..... | 3 |
| SECTION IV. – Recommended Grant Amounts.....      | 4 |
| SECTION V. – Important Dates.....                 | 4 |
| SECTION VI. – Pre-Application Conferences .....   | 4 |
| SECTION VII. – Application Procedures .....       | 5 |
| SECTION VIII. – Application Narrative.....        | 5 |
| SECTION IX. – Procedures for Accessing Funds..... | 7 |
| SECTION X. - Program inquiries.....               | 8 |
| <br>APPENDIX A – List of Opioid Remediation Uses  |   |
| APPENDIX B – FY 2022 Application Cover Page       |   |
| APPENDIX C – Certification of Non-Contingency     |   |
| APPENDIX D – Performance Measurement Information  |   |

## **SECTION I.– Statement of Purpose**

As part of the \$26 billion national settlement, which is intended to resolve several thousand lawsuits against drug manufacturer Johnson & Johnson, which is based in New Jersey, and the country's three major pharmaceutical distributors: AmerisourceBergen, which is headquartered in Conshohocken, Texas-based McKesson, and Cardinal Health in Ohio, Dauphin County will receive an allocation of funds. The companies have not admitted any wrongdoing under the settlement agreement and will make payments over 18 years.

The Pennsylvania Allocation is based on input from a Working Group of Local Government Stakeholders, Counsel and Treatment Community Voices and the allocation will be established as a Trust that cannot be amended later. The total dollars allocated to Pennsylvania is \$1,070,609,642 with 70% allocated to counties based on metrics i.e., overdose deaths, hospitalizations, naloxone administrations, and Morphine Milligram Equivalents (MME), 15% to Litigating Counties, Subdivisions, DAs, and Special Districts, and 15% controlled by the Legislature. The anticipated allocation to Pennsylvania Counties is \$700 million and the value to Dauphin County approximates \$11,206,674 (assumes overall County allocation of \$700 million) over the course of the settlement.

85% of total funds on nationwide basis must be utilized for opioid remediation. At least 70% of total monies on a nationwide basis must be utilized on forward-looking abatement rather than restitution. Municipalities, organizations, and other entities can apply for grants to support projects and programs that provide abatement strategies and related services to local communities. Priority should be given to grantees utilizing the funding for projects and programs following core abatement strategies outlined in Appendix A.

## **SECTION II.– Eligible Applicants**

### **A. Eligible Sponsors/Recipients**

1. Dauphin County (must be authorized by the Board of Commissioners)
2. Municipalities with 10,000 residents or above (based on 2019 US Census estimates): Lower Paxton Township, Harrisburg City, Derry Township, Susquehanna Township, Swatara Township, and West Hanover Township.
3. Municipalities below 10,000 residents but only if their application is joined in by an eligible sponsor/recipient identified in (1) – (2).
4. Non-Municipal Entities that will use monies it receives through the Distributor Settlement solely for the purposes provided therein but only if their application is joined in by an eligible sponsor/recipient identified in (1) – (2).
5. Applicants who have received previous funding through the Opioid Remediation Grant program may only re-apply if they document program or project Comparative Effectiveness Research.

NOTE: Additional information on success and performance measurement can be found in Appendix D.

**B. Sponsorship Requirements**

1. An entity that falls under Section II.A.3-4 above, must have an eligible sponsor for its application or the application will be rejected.
2. The Dauphin County Board of Commissioners will sponsor, for eligibility purposes only, grant applications submitted by municipalities with less than 10,000 residents and non-municipal entities.
3. All applications must include written evidence in the application submission that the application is sponsored or joined by an eligible sponsor on or before the application deadline. If County sponsorship has been requested, the letter requesting county sponsorship should be included with the application.

**SECTION III.– Eligible Uses of Grant Funds**

**A.** Eligible uses for funds include the following, so long as the use, need, costs, or project uses monies it receives through the Distributor Settlement solely for the purposes provided therein and States and Qualifying Block Grantees shall choose from among the abatement strategies listed in Schedule B. However, priority shall be given to the following core abatement strategies (“Core Strategies”) and those programs or projects that are consistent with the Dauphin County Human Services annual needs.

APPENDIX A – List of Opioid Remediation includes:

- (a) Schedule A: Core Strategies
- (b) Schedule B: Approved Uses

**B.** Applicants applying for funds for programs or projects eligible for funding under Schedule A: Core Strategies will be given priority over programs and projects eligible for funding under Schedule B: Approved Uses.

**C.** Applicants that can provide evidence of revenue generation and direct community impact will be considered with preference.

**D.** Applications for projects or programs that have an established record of success of 3 years or longer will be given greater preference than newly established or projects or projects or those in the early stages of development. Applicants must participate in the Dauphin County Comparative Effectiveness Research.

NOTE: Additional information on success and performance measurement can be found in Appendix D.

**E.** Applications that are submitted jointly by two or more entities and/or municipalities that can provide evidence of greater community impact will be considered with preference.

#### **SECTION IV. -Recommended Grant Amounts**

All applicants should carefully consider the amount of funding requested in the Opioid Remediation Grant Application submitted. In order to enhance the impact and maximize the reach of the funding available, Dauphin County has established the following recommended grant amounts to guide potential applicants:

**A.** Municipalities with populations of 10,000 residents or more – a total request of not more than \$40,000 in a single grant cycle.

**B.** Municipalities with populations under 10,000 residents – a total request of not more than \$15,000 in a single grant cycle.

**C.** Other non-municipal entities – a total request of not more than \$75,000 in a single grant cycle.

**D.** Applicants submitted jointly by any two or more entities – a total request of not more than \$150,000 in a single grant cycle.

#### **SECTION V.– Important Dates**

- ❖ Oct. 1, 2022 – Opioid Remediation Grant Application process opens for 2022-23 cycle
- ❖ Oct. 1 through Jan. 15, 2023 – Pre-application conferences (See Section VI)
- ❖ Jan. 15, 2022 – Sponsorship request deadline to Dauphin County
- ❖ Feb. 15, 2023 – Opioid Remediation Grant Application deadline (See Section VII)

#### **SECTION VI.- Pre-Application Conferences**

Entities interested in Opioid Remediation Grant funding are required to schedule a pre-application conference with program consultants and/or staff of the Dauphin County Opioid Remediation Advisory Board. Pre-application conferences will assist potential applicants to better understand the Opioid Remediation Grant Program, understand the application process, and position their application for the best chance of success. Potential applicants must schedule their pre-application conference with program consultants and/or staff of the Dauphin County Opioid Remediation Advisory Board prior to Jan. 15, 2023. Pre-application conferences scheduled prior to Jan. 15, 2023 will receive 25 points in the evaluation process. Those scheduled on Jan. 15, 2023 or later will only receive 10 points. Pre-application conferences are required, and any applicant that does not schedule a pre-application conference will lose points in the evaluation of their application. In addition, applicants that fail to schedule a pre-application conference may not be invited to make an

oral presentation before the Dauphin County Opioid Remediation Advisory Board. Pre-application conferences will not be held after Feb. 14, 2023.

## **SECTION VII.– Application Procedures**

- A. Applications for grants awarded by Dauphin County must be submitted through the Opioid Remediation Grant process and include the project narrative information requested in Section VII of these Program Guidelines. Applications must be delivered by the close of business at 4:30 p.m. EST on Wednesday, February 15<sup>th</sup>, 2023. If mailed, applications must be postmarked by Wednesday, February 15<sup>th</sup>, 2023
- B. Applicants should submit five (5) paper copies and an electronic copy of the application to Dauphin County Opioid Remediation Advisory Board, c/o Dauphin County Human Services, 2 South Second Street, Harrisburg, PA 17101. The electronic copy may be submitted on a CD or flash drive and should not be submitted by email. To the extent possible, applicants should make sure the electronic copy is provided in the same format and order as the paper copies.
- C. Grants will be awarded by the Dauphin County Commissioners. The Board of Commissioners has established an advisory board, the Dauphin County Opioid Remediation Advisory Board, to assist it in screening applicant eligibility and evaluating applications for Opioid Remediation funding. Once awarded, all Opioid Remediation Grants will be administered jointly by the Dauphin County Opioid Remediation Advisory Board and the Dauphin County Human Services Department unless otherwise stated.
- D. The Dauphin County Opioid Remediation Advisory Board will facilitate one or more public meetings for oral presentations of proposed projects eligible for the current round of funding. The Opioid Remediation Advisory Board reserves the right to establish an application dollar threshold for participation in the oral presentations or otherwise excuse applicants from said presentations. Applicants invited to make an oral presentation must provide the members of the Opioid Remediation Advisory Board with a copy of their presentation in the event time constraints prevent an applicant from completing their presentation. A total of 10 hard copies and one (1) electronic copy of the presentation are sufficient. The lack of an oral presentation from such applicants will not be a detriment to their applications. Additionally, the Opioid Remediation Advisory Board may solicit input from the County's legislative delegation.
- E. The Dauphin County Opioid Remediation Advisory Board will review applications to ensure that each applicant and proposed project meets eligibility requirements. Evaluation criteria will be developed by the Opioid Remediation Advisory Board and the County Commissioners. Priority will be given to public-private partnerships, inter-governmental projects, those that leverage additional investment in the County, and projects that are ready to proceed and require a portion of Opioid Remediation funds for completion. No consideration will be

given to projects that are not sponsored by an eligible municipality or Dauphin County.

- F. The Dauphin County Opioid Remediation Advisory Board will establish a grant application calendar for the Opioid Remediation Grant Program. See Page 4, Section V.

## **SECTION VIII.– Application Narrative**

### **(To be included in the Opioid Remediation Grant Application)**

A project narrative is required as part of the application for each proposal and must contain the following:

- A. A completed Application Cover Page on the form appearing at Appendix B to these Program Guidelines;
- B. A description of the project and the need, objective, and expected outcomes of the project or program;
- C. A description of the local and community support for the project or program;
- D. A projected schedule and detailed timeline of the project or program;
- E. A budget accompanied by a description of the basis of costs for the project and sources of funding;

NOTE: The application should identify the percentage that Opioid Remediation funds will constitute of the total project budget and details on how the project will leverage funds from other sources. For any multi-year project, cost information should be broken into phases, and applicants must detail the projected sources of funding for all phases and project completion.

- F. Evidence of prior and anticipated interaction and/or work with the sponsoring municipality;

NOTE: Non-municipal applicants submitting applications sponsored by or joined by a municipality as a co-applicant should show how the applicant and sponsor or co-applicant have interacted and/or worked together in the past as well as anticipated future interactions. Where a non-municipal applicant is sponsored by Dauphin County, a similar connection should be shown.

- G. Evidence of conformity of the program or project with organizational strategic plans; if applicable.
- H. A statement disclosing any instances of fraud or theft of applicant funds in the last five (5) years and measures taken by the applicant to prevent future theft and fraudulent events;

- I. Describe any litigation, administrative proceeding and/or governmental approval related to the project;

NOTE: Litigation, administrative proceedings and governmental approvals should be identified whether or not the matter(s) could cause a delay, potentially prevent the project from being completed or otherwise have an impact on the project.

- J. Outline in detail the community impact and performance measurement. The overall quality strategy must include the following components:

- a) An organizational culture that supports (through human capital and resources) and values a continuous improvement process.
- b) Adequate resources to support the planned activities of the project or program.
- c) Evidence of the desired health or performance outcomes.

NOTE: Additional information on success and performance measurement can be found in Appendix D.

- K. Documentation of support from the affected community, as well as any professional or expert studies, analyses or support related to the project or its need, uses, or costs;

- L. A statement providing where the project ranks on the sponsor's list of priorities if the applicant has more than one project; and

NOTE: A sponsor's list of priorities is merely advisory and not intended to restrict the Dauphin Opioid Remediation Advisory Board in its recommendations to the Dauphin County Board of Commissioners.

- M. An executed Certification of Non-Contingency, the form of which appears at Appendix C of these Program Guidelines, certifying that the applicant has not engaged any consultant or representative in relation to the application whose compensation is on a contingent fee basis.

### **SECTION IX.– Procedures for Accessing Funds**

A grant agreement between the grantee and Dauphin County will be required prior to release of grant funding. All grant agreements will include provisions and conditions appropriate to the project to be funded. In addition to the terms and conditions stated in the grant agreement, grantees must acknowledge and adhere to the following conditions and program requirements:

- A. **Nondiscrimination** - No funding will be awarded to a grantee unless it certifies to the grantor that it shall not discriminate against any employee or against any



person seeking employment because of race, religion, color, handicap, national origin, age, or sex.

- B. **Project Account** – Grant monies are typically disbursed on an after-expenditure basis. For record maintenance and audit purposes, all Opioid Remediation grant funds must be deposited in a separate project account and be maintained by the grantee to hold and disburse all project funds.
- C. **Project Records** - *The grantee must maintain full and accurate records with respect to the projects. The grantor shall have access to such records, as well as the ability to inspect all project work, invoices, materials, and other relevant records at reasonable times and places.*
- D. **Reporting/Audit** - *The grantee must submit a close-out report on the use of the Opioid Remediation funds consistent with the grant agreement. Unless excused, an audit of the use of the grant proceeds by an independent certified public accountant will be required.*
- E. **Bidding** – Grantees are solely responsible for complying with applicable laws, regulations and procedures for selecting contractors and other persons or entities performing work on proposed projects. The County may require proof of compliance with said procedures.
- F. **Prevailing Wage** - The Pennsylvania Prevailing Wage Act (43 P.S. § 165-1 et seq.; 34 Pa. Code § 9.101 et seq.) may be applicable to a project funded under this program. The County makes no representation regarding the Act, and grantees are solely responsible for determining whether the Act applies. If applicable, the grantee is responsible for including prevailing wage rates in all projected budgets or grant application documents pertaining to the project. The Pennsylvania Department of Labor and Industry has final authority to make all prevailing wage applicability determinations.

## **SECTION X.- Program Inquiries**

*Financial Inquiries should be directed to:*

Heather Quick  
2 South Second Street  
Harrisburg, PA 17101  
(717) 780-6290  
[hquick@dauphincounty.gov](mailto:hquick@dauphincounty.gov)

*General Inquiries should be directed to:*

Jessica Kemmerer  
100 Pine Street  
Harrisburg, PA 17101  
(484) 714-6717  
[jkemmerer@cnrlc.org](mailto:jkemmerer@cnrlc.org)